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Authorised and Regulated by the Financial Conduct Authority

**Service Proposition & Engagement**

This agreement is made between: **McSherry Halliday**

And: \_\_\_\_\_,

Residing at: \_\_\_\_\_

All investments should be reviewed on a regular basis and this agreement sets out the terms on which we charge for our ongoing services. Should you wish to proceed with our ongoing services, we would be obliged if you could provide your consent at the end of this agreement. You should then retain a copy of this document together with a copy of our 'Client Agreement'.

Set out below are the ongoing services that we provide and the associated costs. Please contact me if you wish to discuss any of these terms.

<b>Services Provided</b>
An annual financial review to include:- <ul style="list-style-type: none"> <li>• Your needs and objectives</li> <li>• Changes in your personal circumstances</li> <li>• Your attitude to risk and capacity for loss</li> <li>• Impact of tax and legislative changes</li> <li>• Your Will and personal Estate</li> <li>• Review of the suitability of your investments</li> <li>• Provision of a personal recommendation</li> </ul>
Annual review of the underlying investment funds within your portfolio. This will ensure the funds are rebalanced in line with the agreed asset allocation
Ongoing access to a qualified financial adviser who can assist you with any queries or provide you with guidance/advice at your request
Liaison, at your request, with any other professional adviser
Ongoing administration support
Regular investment market updates and budget updates
Personalised access to the McSherry Halliday Wealth Management Platform (if applicable)
The cost of this service is based on the value of the investment(s) that you hold with us and the charge is <b>0.5% of the value per annum</b>
The minimum annual charge for this service is <b>£250</b> and the maximum annual charge is <b>£6,000</b>

The following examples are to help you understand how our charges will be applied:-

Example 1: if your investments are valued at **£30,000**, the 0.5% charge of £150 would be below our minimum fee and we would charge the difference of £100 to you at the end of annual period from when this agreement was dated. The total fee payable would be **£250** per annum.

Example 2: if your investments are valued at **£200,000**, the 0.5% charge would be **£1,000** and that would be the total fee payable.

Example 3: if your investments are valued at **£1,000,000**, the 0.5% charge would be £5,000. As this exceeds our maximum charge, we would reduce the fee in line with our maximum charge therefore the total fee payable would be **£3,000** per annum.

**If the value of your investments rise, the cost of this service will increase. Conversely, if the value of your investments fall, the cost of this service will decrease. This is subject to the minimum and maximum charges.**

You can choose to pay this fee directly or by deduction from the policy(s) that you hold. Should you wish to pay directly, you can spread the payment over a 12 month period by standing order.

When you sign this Ongoing Servicing Agreement, it is deemed to commence immediately. You can choose to cancel this at any time by providing us with written confirmation of your decision. Payments would then cease within 7 business days or after collection of any due charges if later.

**DECLARATION**

I/We would like to subscribe to the ongoing service and understand that the fee for this service is 0.5% of the value of my/our investments each year, subject to a minimum of £250.

Facilitation of payments:-

I/We wish for the cost of the ongoing service to be paid by deduction from the investments that I/ we hold.

I/We wish for the cost of the ongoing service to be charged directly to me/us on a monthly/yearly basis.

Full Name: \_\_\_\_\_

Signed: \_\_\_\_\_

Date: \_\_\_\_\_

Full Name: \_\_\_\_\_

Signed: \_\_\_\_\_

Date: \_\_\_\_\_

Signed on behalf of firm: \_\_\_\_\_

Full Name: \_\_\_\_\_

Date of issue: \_\_\_\_\_